

Item No.	Classification: Open	Date: 1 March 2022	Meeting Name: Strategic Director for Children's and Adults' Services
Report title:		Gateway 2 - Contract Award Approval Care at Home and in the Community for Adults	
Ward(s) or groups affected:		All wards	
From:		Director of Commissioning	

RECOMMENDATIONS

1. That the Strategic Director for Children's and Adults' Services, in consultation with the Cabinet Member for Health and Wellbeing, approve the contract award of five contracts for the provision of Care at Home and in the Community for Adults to Carepoint Services Ltd, Unique Personnel (UK) Ltd and Hartwig Care Ltd for the North lot and to MiHomecare Ltd and Care Outlook Ltd for the South lot for an initial period of two years from 1 February 2022 with an option to extend for a further two years (1+1) for an estimated maximum total value of £16m across all five contracts.
2. That the Strategic Director for Children's and Adults' Services note the changes to the contract value and contractual arrangement outlined in the Gateway 1 (GW1) Procurement Strategy report as defined in paragraphs 6, 7 and 14 of the 'Background Information' section of this report.

BACKGROUND INFORMATION

3. The council commissions Care At Home services as one means of discharging its statutory duties under the Care Act 2014 and implementing its vision for the future of adult social care.
4. The commissioned services contribute to people living at home for as long as possible and therefore reducing the number of people entering residential or nursing home care. Care at Home also helps support people in continued recovery following illness and maximising their levels of independence, resilience and self-care.
5. A GW1 procurement strategy report for Care at Home and in the Community for Adults (Additional Adults Care at Home) was approved by Cabinet in October 2019 which sought to establish a framework at an estimated contract value of £7.4m consisting of two lots to support the council's current Care at Home provision in the north and south of the borough.
6. The GW1 recommendation had not stipulated that the estimated contract value of £7.4m was either an annual or a total contract value. Subsequently

the contract opportunity was advertised at a total contract value of £7.4m whereas it should have been advertised as an estimated annual contract value based on the current circumstances at the time i.e. the number of care packages being placed with additional providers.

7. The annual contract value is estimated to be £4m taking into account the number of care packages the core providers have since taken on making a total estimated total contract value of £16m
8. As recommended in the GW1 report, Cabinet delegated the approval of award decisions to the Strategic Director of Children's and Adults' Services in consultation with the Cabinet Member for Health and Wellbeing.
9. The framework would consist of two lots; North lot will comprise of an additional three care at home providers. The South lot will comprise of an additional two care at home providers. This approach would secure an equitable number of providers (seven) in both the north and south areas of the borough.
10. This additional Care at Home provision was deemed necessary following the original Care at Home tender in 2017, which had resulted in four geographical lots being awarded to only five Care at Home providers.
11. In November 2018, the council contracted four supplementary Care at Home providers on a short-term basis to mitigate capacity issues whilst a longer term solution was sought.
12. As a result of the COVID-19 pandemic a delay to the Care at Home and in the Community for Adults procurement resulted in the supplementary contracts being extended until 31 January 2022 via a GW3 contract variation report which was approved on 31 March 2021.
13. It was also decided to align the Reablement procurement with the Care at Home and publish one tender instead of two reducing the time required for bidders and evaluators in participating in the tender.
14. To accommodate this approach, the tender included three lots, two lots for Care at Home and one lot for Reablement. A contract award recommendation for the Reablement provision will be presented in a separate GW2 report.
15. The contractual arrangement for the Care at Home provision changed from a framework agreement to contract lots.

Procurement project plan (Key Decision)

16.

Activity	Completed by/Complete by:
Briefed relevant cabinet member (over £100k)	14/09/2021
Approval of Gateway 1: Procurement Strategy Report	24/03/2020
Invitation to tender	07/10/2020
Closing date for return of tenders	14/05/2021
Completion of evaluation of tenders	07/07/2021
DCRB Review Gateway 2:	15/09/2021
CCRB Review Gateway 2:	23/09/2021
Notification of forthcoming decision – Five clear working days	01/03/2022
Approval of Gateway 2: Contract Award Report	09/03/2022
End of Scrutiny Call-in period and notification of implementation of Gateway 2 decision	18/03/2022
Alcatel Standstill Period (if applicable)	29/11/2021
Contract award	30/11/2021
Add to Contract Register	01/12/2021
TUPE Consultation period (if applicable)	31/01/2022
Contract start	01/02/2022
Publication of award notice in Official Journal of European (OJEU)/ Find a Tender	01/12/2021
Publication of award notice on Contracts Finder	01/12/2021
Contract completion date	31/01/2024
Contract completion date – if extension(s) exercised	31/01/2026

KEY ISSUES FOR CONSIDERATION

Description of procurement outcomes

17. The Adults Care at Home service provision will be split across two lots to support the current (core) Care at Home provision: Lot 1 will cover the North of the borough and the contract will be awarded to three providers. Lot 2 will cover the South of the borough and a contract will be awarded to two providers.

18. This will secure an equitable number of providers seven in both the north and south of the borough. The north will have four core providers and three additional providers. The south will have five core providers and two additional providers of the borough.

19. The overall objectives of the service are:

- To enable service users to live as independently as possible for as long as possible in their own homes.
- To ensure that service users have regular carers who work with them on a long-term basis, with less frequent change.
- To enable service users to achieve their own agreed outcomes to support living independently.
- To enable service users to maintain their own networks of support within the community and support the service users' personal care network.
- To provide a kind and caring approach to the support of service users, backed by good evidence.
- To contribute to making sure that the most effective use is made of assistive technology.
- To ensure that other reasonable outcomes for the service user, as identified in their support plan, are compliant with terms and conditions in the (core) Care At Home contract and the Council's duties under the Care Act 2014.
- When the responsibility of care passes from the Local Authority to the NHS (for example when a service user is at the end of their life) there is an even stronger need for care to be coordinated and for the service user to be supported in a timely and appropriate manner to die in the place of their choice.
- To ensure that in delivering care the social, cultural and religious needs of service users are properly taken into account.
- To work effectively in a vital day-to-day role alongside other health and social care agencies within the context of an individual's overall care and health.
- As part of their daily work to promote and maintain the health and well being of the local population.
- To ensure that the investment made positively adds social value to the local population in Southwark.

Key/Non Key decisions

20. This report is a key decision.

Policy implications

21. The council has a statutory responsibility to provide care and support to meet people's eligible care and support needs, as directed by the Care Act 2014.

22. The Care at Home services support people in their own homes to remain as independent, healthy and well as possible.

23. The Care Act 2014 requires Southwark Council to:

- Meet eligible care and support needs.
- Promote people's wellbeing and independence.
- Prevent, delay or reduce care and support needs.
- Provide information and advice on the choice of social care available.
- Support people to fully participate in assessing their care and support needs and developing their support plans.
- Join up health and social care services where this will help us do the above.

24. The Borough Plan 2018-22 has a commitment to Fairer Future principles and a commitment to treat residents as if they were a valued member of our own family.

25. The Care at Home and in the Community for Adults opportunity required providers to sign up to the Southwark Ethical Care Charter (SECC), Fairer Future Procurement Framework and the End Violence at Work charter.

Tender process

26. The Care at Home and in the community for Adults contract was advertised in the following places:

- The Official Journal of the European Union (OJEU)
- ProContract
- Contract Finder

27. The Care at Home and in the community for Adults procurement and the Community-based Reablement procurement was merged under one tender as Lot 1 (North) and Lot 2 (South) for the Care at Home provision and Lot 3 for the Reablement provision.

28. The tender process took the form of a Restricted Procedure. As the value of the procurement was estimated in excess of the EU threshold for light touch

services, there was a requirement to follow the Light Touch Regime prescribed under the (EU) Public Contract Regulations 2015 and advertise the tender opportunity in OJEU.

29. All providers were required to satisfy Southwark Council's minimum requirements of a Care Quality Commission rating of "Good".
30. In the event that a provider has a rating of "Requires Improvement" they were able to submit a self-cleansing statement as evidence that they are currently working towards a rating of 'Good' via a CQC improvement plan.
31. If a provider had yet to be inspected or has a "Good" or Outstanding" rating but has not been inspected in the last 12 months, they were able to provide a supporting statement, reflecting their current circumstances for information purposes only.
32. Providers with an "Inadequate" rating automatically received a fail and were not permitted to participate further in the process.
33. The council received Selection Questionnaires (SQ) from 56 providers across both Lots - 50 providers submitted for both Lots 1 and 2; Six (6) providers submitted for Lot 2 only.
34. Each SQ submission was checked first for completeness and compliance (stage1), before being passed to Finance colleagues for financial assessment; namely, looking at the economic and financial standing of each provider.
35. The financial assessments were carried out against the following criteria:
 - Credit score of 40 or higher.
 - Financial risk assessment for the council by analysis of key credit ratios.
 - A minimum turnover of 1.5 times the annual contract value
36. To achieve an overall pass in the financial assessment, applicants needed to meet all of the above criteria or satisfy the council that their financial assessment (in the case of credit checks) was sufficiently stable to deliver the service required, as detailed in the tender.
37. The bidders that passed the financial assessment (stage 2) were then evaluated on their responses to the technical questions in stage 3 of the SQ evaluation.
38. There were 34 SQ submissions which progressed to stage 3. 29 of these submissions were for both Lot 1 and 2. Five submissions were for Lot 2 only.
39. The responses to the technical questions from each bidder were evaluated and scored by an evaluation panel consisting of three council officers across commissioning and Children's and Adults' services.

40. Each submission was evaluated and scored by panel members individually, and then a consensus score was agreed upon by the panel at a moderation meeting.
41. In accordance with the Invitation to Tender (ITT):
- 13 bidders for Lot 1 were shortlisted and invited to tender
 - Ten (10) bidders for Lot 2 were shortlisted and invited to tender
42. Apart from one bidder, all of the shortlisted bidders submitted a SQ for both lots.
43. In line with the instructions to tender, bidders were permitted to submit a tender for both lots but could only be awarded one lot. Bidders were asked to state their preference within their SQ submission however, the council reserved the right to award the lots based on business needs.

Tender evaluation

44. Thirteen bids for Lot 1 were received. Nine bids for Lot 2 were received with one bidder not responding.
45. The tender submissions were evaluated on a 70% Price and 30% Quality.
46. The Quality evaluation was made up of Ten (10) questions/ method statements. The method statements were weighted in relation to their relative importance, as set out in the tender documents. The method statements covered the following areas:
- Service Delivery - 50%
 - Workforce – 20%
 - User Experience – 15%
 - Partnership Working – 5%
 - Mobilisation – 5%
 - Social Value – 5%
47. The tender submissions of each bidder were evaluated and scored by an evaluation panel consisting of four council officers across commissioning, corporate procurement and Children's and Adults' services. The panel consisted of two new panellists and two panellists carried over from the previous SQ stage.
48. A moderation meeting took place after each evaluator had individually scored each method statement and a consensus score for each method statement was achieved following this process.

49. The method statements were scored 0 – 5 and each had a minimum threshold score to ensure minimum quality standards were met.
50. The Price evaluation was based on an hourly rate, which required bidders to submit a bid between floor and ceiling rates. All bids received, with the exception of one, were within these rates.
51. As outlined in the ITT, all prices submitted shall be fixed for the duration of the contract; however, prices will be adjusted to accommodate any increases to the London Living Wage and on-costs i.e. (NI & pension contributions, travel, sick and holiday pay) at 37% in addition to its increase.
52. Bidders were required to submit their rates via a pricing schedule issued by the council, which sought to itemise the cost breakdown, so the council could determine any apparent problematic or erroneous costs.
53. The final evaluation scores for each bidder comprised of a quality score and a price score for the per hour rate.
54. As per the tender documents, the five highest-scoring bidders from each Lot were invited to a bidder presentation / Question & Answer (Q&A) session, which were not scored but could result in the moderated scores being adjusted up or down.
55. Scores and rankings were finalised, which resulted in the three winning bidder scores for Lot 1, ranging from 93.22% to 89.80%, and the two winning bidder scores for Lot 2 at 92.65% and 88.57% respectively.

Plans for the transition from the old to the new contract

56. Packages of care will be eligible for transfer to the new Adult Care at Home providers from the current supplementary providers. As none of the supplementary providers have been successful, approximately 150 packages of care will need to be transferred.
57. Service users who wish to remain with a supplementary care provider not awarded a contract will be able to do so via a Direct Payment option in order to avoid any unnecessary service disruption. This will be determined on a case by case basis.
58. It is expected that TUPE will most likely apply upon award of the contract.
59. An eight-week mobilisation period is in the procurement project plan, which will grant providers sufficient set-up time to begin receiving new care packages and support TUPE transfers.

Plans for monitoring and management of the contract

60. The contracts will be managed by the contract management team who sit within the Quality, Performance and Transformation business unit within Children's and Adults' Services.
61. The monitoring arrangements will provide information on whether or not the contracts is performing as expected. Quarterly reports will reflect the outcomes that have been achieved against the agreed target. The department has a monthly working group chaired by the Assistant Director for Quality, Performance and Transformation that reviews performance in the form of a dashboard and a quarterly Strategic Board that is co-chaired by the Directors for Commissioning and Adult Social Care.
62. The council's contract register publishes the details of all contracts over £5,000 in value to meet the obligations of the Local Government Transparency Code. The Report Author must ensure that all appropriate details of this procurement are added to the contract register via the eProcurement System.

Identified risks for the new contract

63. The following risks and associated mitigations have been identified:

Risks	Mitigation or control	Likelihood
Provider failure and / or a drop in their CQC rating to 'Inadequate'.	In the event of a provider's CQC rating dropping to 'Inadequate' new referrals will be suspended until such time that the CQC rating has improved.	Medium
Provider accepting packages of care without the necessary infrastructure / workforce in place.	The council has a performance framework for assuring that the ratio of staff to the number of hours of support.	Medium
Placements Team not comprehending and / or utilising the "First Responder" call-off procedure should core providers not take up the referral	Early engagement with the Placements Team to ensure that the new referral process and "First Responder" call-off mechanism, as per the service specification, are adhered to by staff at all times.	Low

Continuing impact of COVID-19	Any issues will be addressed during the contract mobilisation period, in accordance with business continuity plans.	Medium
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Community impact statement

64. Southwark Council's Borough Plan expresses its commitment to support and protect our most vulnerable residents, and to do everything possible to make it easy for residents in Southwark to lead healthy and active lives. The procurement strategy and this contract support this ambition by supporting residents to remain safe and well in their own home.

65. Officers are mindful of the need to have due regard to the Public Sector Equality Duty imposed by section 149 of the Equality Act 2010, which requires the council to:

- Eliminate discrimination, harassment, victimisation or other prohibited conduct;
- Advance equality of opportunity between persons who share a relevant protected characteristic and those who do not share it; and
- Foster good relations between persons who share a relevant protected characteristic and those who do not share it

66. A further equality impact assessment will be undertaken during the mobilisation period in order to ensure that the service delivery model remains appropriate for all service users. The health and wellbeing of Southwark residents will be at the core of the work for this service.

Social Value considerations

67. The Public Services (Social Value) Act 2012 requires that the council considers, before commencing a procurement process, how wider social, economic and environmental benefits that may improve the well-being of the local area can be secured. The social value considerations included in the tender (as outlined in the Gateway 1 report) are set out in the following paragraphs in relation to the tender responses, evaluation and commitments to be delivered under the proposed contract.

68. The council's Fairer Futures Procurement Framework requires payment of the London Living Wage (LLW), where appropriate. It is appropriate for all staff working on this contract to be paid LLW. It is expected that payment of the LLW by the successful bidder will result in quality improvements for the service users. These should include a higher calibre of multi-skilled operatives that will contribute to the delivery of the services and will provide best value for the council. It is therefore considered appropriate for the

payment of LLW to be required. Following award, these quality improvements will be monitored as part of the contract review process.

69. The successful bidders were required to comply with the Fairer Futures Procurement Framework and reiterate their commitment to pay the LLW, as well as their commitment to pursuing various other social value measures in a Social Value method statement.

70. The successful bidders have all satisfied the requirements of the council by committing to comply with the Southwark Ethical Care Charter (SECC) which includes, but not limited to, the following:

- Care workers will be paid at least the LLW
- Clients will be allocated the same care worker wherever possible
- Care workers will be paid for their travel time
- Care workers will be covered by occupational sick pay schemes
- Homecare workers will be trained (at no cost to individual care workers)
- Zero hour contracts will not be used in place of permanent contracts for care workers, unless requested by the care worker

71. Other social value measures included, but not limited to:

- Raising living standard of local residents
- Participation and citizen engagement
- Local recruitment
- Volunteer mentoring
- Environmental sustainability

72. Some examples of social value initiatives put forward by the successful bidders include, but are not limited to, the following:

Social value in employment

- Recruitment of local people from a long-term unemployed background with one provider committing to 25% of new jobs created from long-term unemployed people
- Commitment to apprenticeships recruited locally
- Host mock interview days in conjunction with the Jobcentre

Social value in the environment

- Utilise route planning to promote walking and reduce carbon footprints.
- Paperless office environment.
- Offer staff a 'Cycle to Work' scheme.

Social value in the community

- Facilitate community engagement and participation.
- Undertake volunteering (through paid leave and promotion of suitable opportunities) for local community or charity projects.

- Provide free training (e.g. enablement, dementia, first aid) to friends/relatives/informal carers of service users

Economic considerations

73. The successful bidders have demonstrated social value in their bids and through their commitment to creating apprenticeships and the employment of local people under this contract.

Social considerations

74. This service supports borough plan commitment objectives to promote independence and well-being by funding activities and services to facilitate community representation and voice in the areas of health and social care. This will further the aim of promoting inclusive and representative community participation in the planning, commissioning, delivery and quality of these services in Southwark.

75. Three of the five successful bidders are categorised as Small, Medium or Micro Enterprises (SMEs).

76. Two of the five successful bidders are categorised as Black, Asian and Minority Ethnic owned/led organisations.

Equalities (including socio-economic) impact statement

77. As set out in the Health Inequalities Framework (March 2020), 'stark inequalities within Southwark existed prior to COVID-19 with a life expectancy gap between the most and least deprived areas of the borough of over 7 years for males and almost 6 years for females. The COVID-19 pandemic, and the measures put in place to limit its spread, have exacerbated existing health inequalities. In addition to the immediate health outcomes, the medium and longer term social and economic impacts will have a significant implications for the health and wellbeing of local residents'. The care at home contract is designed to ensure that people live at home with dignity and respect for as long as possible.

Health impact statement

78. The COVID-19 pandemic impacted all groups but particularly people from Black, Asian and Minority Ethnic backgrounds, low-income households and those with disabilities.

79. The delivery of the care at home and in the community for adults will help to improve the health of both staff and residents in Southwark. It will achieve this by raising the living standards of local people at risk of ill-health through the commitment of providers to recruit 25% of new post-holders from the long-term unemployed and to expand the number of local apprenticeships.

80. Providers will also be expected to offer flexible and part-time working arrangements to encourage a wide range of applicants and reduce barriers to employment for disadvantaged groups. By adhering to Southwark's ethical care charter, all staff employed by care at home providers will be paid the London Living Wage as well as for their travel time between jobs and occupational sick pay. It will therefore reduce health inequalities in Southwark by ensuring people from socio-economic disadvantaged groups are better remunerated for their work and will lead to a more stable, well-equipped workforce that is able to deliver high quality, consistent care for service users.

81. One of Southwark's Borough Plan key aims is to support and protect vulnerable residents so that they can lead healthy and active lives. The delivery of care at home services will enable many older and disabled residents to live safely and independently at home, many of whom are recovering from illness after discharge from hospital. It will provide them with the care and support they need to live healthy and fulfilled lives in the community and prevent many from being admitted to residential or nursing care.

Climate change implications

82. The climate change strategy has five priorities and the social value from this procurement will contribute to the priority of 'Active & Sustainable Travel'. More specifically, the strategy's ambition is that Southwark will 'be a borough where walking and cycling becomes the default way to get around'. This procurement's social value initiatives includes route planning that will promote walking and reduce carbon footprints and the offer staff a 'Cycle to Work' scheme. The frontline homecare workforce is necessarily mobile and tends to be predominantly local residents and therefore walking and cycling are achievable initiatives that are reflected in bid responses related to the social value in the environment.

Environmental/Sustainability considerations

83. There are no environmental / sustainability considerations.

Market considerations

84. The market for the Adults Care at Home service is well developed. There is a strong presence of providers operating within Southwark.

Staffing implications

85. Staffing and contract management resources will be met within the Children's and Adults services.

Financial implications

86. The total estimated annual contract value is approximately £4m which is calculated based on the current activity trend with the supplementary providers over the last 18 months. The estimated cost is broken down as the following:

Additional Care at Home service	£m
Year 1	3.5
Year 2	3.8
Year 3	4.1
Year 4	4.5
Total	16.0

87. Please note that this is an estimated value and is subject to fluctuation based on demand. The total homecare budget is £16m, which funds both the existing Care at Home contracts and the proposed additional Care at Home contracts. There is sufficient budget available to fund the proposed gateway.

88. The homecare budget available is part funded from the Better Care Fund and the Improved Better Care Fund. It is anticipated that the contracted homecare costs in the future will be subject to annual changes in London Living Wage and related employer's national insurance and pensions costs.

Legal implications

89. Please see concurrent from the Director of Law and Governance.

Consultation

90. Consultation with residents has taken place previously, which informed the commissioning approach. Service user engagement was carried out prior to commencement of the procurement, the feedback was positive to the proposal to have additional care at home providers and more robust management of the providers in operation.

Other implications or issues

91. None

SUPPLEMENTARY ADVICE FROM OTHER OFFICERS

Strategic Director of Finance and Governance [49AS2021-22]

92. The Strategic Director of Finance and Governance notes the contents of this report and in particular the financial implications referenced at paragraph 86 to 88. The contribution made by the Better Care Fund (BCF) to this activity reflects the shared benefits accruing to the council, CCG and health partners

in their endeavours to improve outcomes for the residents of Southwark. Whilst funding is secure for 2021-22, the service and other partners will need to work closely to mitigate any risks arising from future spending reviews and subsequent changes to the BCF. Given the significant increase in demand in the past 18 months close contract management and contingency planning will be necessary to mitigate risk.

Head of Procurement

93. This report seeks approval from the Strategic Director for Children's and Adults' Services to award five contracts for the provision of Care at Home and in the Community for Adults to Carepoint Services Ltd, Unique Personnel (UK) Ltd and Hartwig Care Ltd for the North lot and to MiHomecare Ltd and Care Outlook Ltd for the South lot. The proposed contracts are for an initial period of two years from 1 February 2022 with an option to extend for a further two years (1+1) for an estimated maximum total value of £16m across all five contracts.

94. In granting the approval to award the proposed contracts, the Strategic Children's and Adults' Services in exercising its delegated powers from the Cabinet is to give consideration to the procurement process that has been duly followed in choosing the successful bidders as detailed in paragraphs 26 to 55 in ensuring that best value for money is achieved.

95. The contract management and monitoring is detailed in paragraphs 60 to 62, risks are detailed in paragraph 63, impacts for equalities, health and climate are detailed in paragraphs 77 to 82, social value commitments are detailed in paragraph 67 to 72 and confirmation of the payment of London Living Wage is detailed in paragraph 69.

Director of Law and Governance

96. This report seeks approval to award a contract to each of the five service providers named in paragraph 1 for the provision of care services for adults both at home and in the community.

97. Due to the nature and estimated value of the services that the council requires their procurement was subject to the relevant requirements of the European procurement regulations (which were applicable at the time that the invitation to tender was issued) as well as relevant domestic legislation and the council's Contract Standing Orders.

98. The report details the process that has been followed to establish a framework comprising two geographical lots, involving a "restricted procedure" procurement which is compliant with the Public Contracts Regulations 2015. A separate lot for reablement services had been included in the procurement and an award recommendation for that contract is to be presented in a separate Gateway 2 report.

99. The decision to approve the recommended contract awards for the two lots of the framework is one which has been expressly delegated by Cabinet to the Strategic Director of Children's and Adults' Services.

100. The community impact statement set out from paragraph 64 of this report summarises the effect of the Public Sector Equality Duty contained in section 149 of the Equality Act 2010, and in making procurement decisions the council must consider and have due regard to any effects of the decision on the community at large and on people identified as possessing "protected characteristics", as defined in the Act. Paragraph 65 notes that a further equality impact assessment will be undertaken during the mobilisation period in order to ensure that the service delivery model remains appropriate for all service users.

PART A – TO BE COMPLETED FOR ALL DELEGATED DECISIONS

Under the powers delegated to me in accordance with the council's Contract Standing Orders, I authorise action in accordance with the recommendation(s) contained in the above report (and as otherwise recorded in Part B below).

Signature  Date... 4. March 2022
David Quirke - Thornton

Designation
Strategic Director for Children's and Adults' Services

PART B – TO BE COMPLETED BY THE DECISION TAKER FOR:

- 1) All key decisions taken by officers
- 2) Any non-key decisions that are sufficiently important and/or sensitive that a reasonable member of the public would reasonably expect it to be publicly available (see 'FOR DELEGATED DECISIONS' section of the guidance).

1. DECISION(S)
As set out in the recommendations of the report.
2. REASONS FOR DECISION
As set out in the report.
3. ALTERNATIVE OPTIONS CONSIDERED AND REJECTED BY THE OFFICER WHEN MAKING THE DECISION
Not applicable.
4. ANY CONFLICT OF INTEREST DECLARED BY ANY CABINET MEMBER WHO IS CONSULTED BY THE OFFICER WHICH RELATES TO THIS DECISION
None.

5. NOTE OF ANY DISPENSATION GRANTED BY THE MONITORING OFFICER, IN RESPECT OF ANY DECLARED CONFLICT OF INTEREST

If a decision taker or cabinet member is unsure as to whether there is a conflict of interest they should contact the legal governance team for advice.

None.

6. DECLARATION ON CONFLICTS OF INTERESTS

I declare that I was informed of no conflicts of interests.*

Signature  Date...11... March... 2022
David Quirke - Thornton

Designation
Strategic Director for Children's and Adults' Services

7. CONSIDERATION GIVEN TO WHETHER, AS A NON-KEY DECISION, THIS SHOULD BE FORWARDED TO THE CONSTITUTIONAL TEAM FOR PUBLICATION IN ACCORDANCE WITH REGULATION 13(4)*

The decision taker should consider whether although a non-key decision, the decision is sufficiently important and/or sensitive that a reasonable member of the public would reasonably expect it to be publicly available. Where there is any doubt, having considered the importance and/or sensitivity of a decision, it should be deemed that Regulation 13(4) would apply.

I consider that the decision be made available for publication under Regulation 13(4).*

Signature  Date...11... March... 2022
David Quirke - Thornton

Designation
Strategic Director for Children's and Adults' Services

* Under the Local Authorities (Executive Arrangements) (Meetings and Access to Information) (England) Regulations 2012, the council is required to put in place a scheme for recording and publishing some officer executive decisions. This process is sometimes referred to as "Regulation 13(4)".

BACKGROUND DOCUMENTS

Background documents	Held At	Contact
Gateway 1: Procurement Strategy Approval	160 Tooley St, London, SE1 2QH	Cynthia Davis 0207 525 4227
Link: https://moderngov.southwark.gov.uk/documents/s85376/Report%20Gateway%201%20-%20Procurement%20Strategy%20Approval%20Additional%20Care%20at%20Home%20Provision%20for%20Adults.pdf		
Care Act 2014	160 Tooley St, London, SE1 2QH	Cynthia Davis 0207 525 4227
Link: https://www.legislation.gov.uk/ukpga/2014/23/contents/enacted		
The Health Inequalities Framework 2020	160 Tooley St, London, SE1 2QH	Cynthia Davis 0207 525 4227
Link: https://www.communitysouthwark.org/Handlers/Download.ashx?IDMF=c9806823-de94-4326-9a70-e1fe1390f742		
LBS Climate Change Strategy	160 Tooley St, London, SE1 2QH	Cynthia Davis 0207 525 4227
Link: https://www.southwark.gov.uk/environment/climate-emergency?chapter=2		
Southwark's Borough Plan	160 Tooley St, London, SE1 2QH	Cynthia Davis 0207 525 4227
Link: https://moderngov.southwark.gov.uk/documents/s92006/AppendixASouthwarksBoroughPlan2020.pdf		
Equalities Act 2010	160 Tooley St, London, SE1 2QH	Cynthia Davis 0207 525 4227
Link: https://www.gov.uk/guidance/equality-act-2010-guidance		
Southwark Ethical Care Charter	160 Tooley St, London, SE1 2QH	Cynthia Davis 0207 525 4227
Link: https://moderngov.southwark.gov.uk/documents/s52528/Appendix1SouthwarkEthicalCareCharter.pdf		

APPENDICES

No	Title
	N/A

AUDIT TRAIL

Lead Officer	David Quirke-Thornton, Strategic Director of Children's and Adults' Services	
Report Author	Sam Edwards, Procurement Manager	
Version	Final	
Dated	1 March 2022	
Key Decision?	Yes	
CONSULTATION WITH OTHER OFFICERS / DIRECTORATES / CABINET MEMBER		
Officer Title	Comments Sought	Comments included
Strategic Director of Finance and Governance	Yes	Yes
Head of Procurement	Yes	Yes
Director of Law and Governance	Yes	Yes
Director of Exchequer (for housing contracts only)	N/a	N/a
Cabinet Member	Yes	Yes
Contract Review Boards		
Departmental Contract Review Board	Yes	Yes
Corporate Contract Review Board	Yes	Yes
Cabinet	No	No
Date final report sent to Constitutional/Community Council/Scrutiny Team		11 March 2022